

02-13-01

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UTILITY PATENT APPLICATION TRANSMITTAL

Docket No

A-1714

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Total Pages in this Submission
53jc806 U.S. PTO
02/12/01

TO THE COMMISSIONER FOR PATENTS

Box Patent Application

Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 CFR 1.53(b) is a new utility patent application for an invention entitled:

METHOD AND APPARATUS FOR ATTACHING CONNECTIVE TISSUES
TO BONE USING A KNOTLESS SUTURE ANCHORING DEVICE

and invented by:

Seth A. Foerster

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Enclosed are Application Elements:

- ☒ Filing Fee
- ☒ Specification having 32 page(s) and including the following:
- ☒ Title of the Invention
- ☐ Cross References to Related Applications (if applicable)
- ☒ Background of the Invention
- ☒ Brief Summary of the Invention
- ☒ Description of the Drawings
- ☒ Description of the Preferred Embodiment
- ☒ Claim(s) as Classified Below
- ☒ Abstract of the Disclosure
- ☒ 10 Sheets of Drawings(s) (37 CFR 113) ☐ Formal ☒ Informal
- ☒ Oath or Declaration ☒ Executed ☐ Unexecuted
- ☐ ☐ Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- ☒ Power of Attorney ☒ Executed ☐ Unexecuted
- ☐ ☐ Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- ☐ Incorporation By Reference -- The entire disclosure of the prior application from which a copy of the oath or declaration is supplied under the above entry, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ Computer Program in Microfiche (Appendix)

Acc mpanying Application Parts

- ☒ Assignment Papers (cover sheets & documents(s))
- ☐ The prior application is assigned of record to _____
- ☐ ☐ Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
- ☐ English Translation Document (if applicable)

jc868 U.S. PTO
09/781793
02/12/01

- ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of ___ IDS Cited Reference(s)
☐ Preliminary Amendment
☒ Acknowledgment postcard
☒ **Application is entitled to Small Entity Status.**
☒ Application Data Sheet
☒ Request of NON-PUBLICATION of Application
☒ Certificate of Mailing by Express Mail
☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

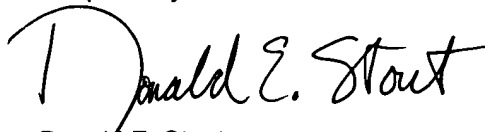
Fee Calculation and Transmittal

*The filing fee is calculated on the basis of the claims existing in the prior application as amended by the accompanying preliminary amendment noted above.

| CLAIMS AS FILED | | | | | |
|--|--------|----------|--------|------------|-------------------------|
| For | #Filed | #Allowed | #Extra | Rate | Fee |
| Total Claims | 39 | - 20 = | 19 | X \$ 18.00 | \$ 342.00 |
| Independent Claims | 4 | - 3 = | 1 | X \$ 80.00 | \$ 80.00 |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> | | | | | \$ 0.00 |
| BASIC FEE | | | | | \$ 710.00 |
| SUBTOTAL | | | | | \$ 1132.00 |
| (Application is entitled to small entity status under 37 CFR 1.9 and 1.27) adjusted small entity status claim fees [rate + 2] SMALL ENTITY STATUS | | | | | YES \$ 566.00 |
| OTHER FEE (specify purpose) ASSIGNMENT RECORDATION | | | | | \$ 40.00 |
| TOTAL FILING FEE | | | | | \$ 606.00 |

- ☒ A check in the amount of \$ 606.00 to cover the filing fee and the assignment recordation fee is enclosed.
☒ The Commissioner is hereby authorized to charge and/or credit Deposit Account Number 13-5135 as described below.
☐ Charge the amount of \$ _____ as filing fee.
☒ Credit any overpayment.
☒ Charge any additional filing fees required under 37 CFR 1.16 and 1.17.

Respectfully Submitted,


 Donald E. Stout
 Attorney for Applicants
 Registration Number: 34,493

February 12, 2001
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application: /
Seth A. Foerster / BOX PATENT APPLICATION
/

Filed: Herewith /
/

For: METHOD AND APPARATUS FOR /
ATTACHING CONNECTIVE TISSUES TO /
BONE USING A SELF-LOCKING /
KNOTLESS SUTURE ANCHORING /
DEVICE /

EXPRESS MAIL MAILING LABEL NO.

EL639220922US

Date of Deposit: **January 16, 2001**

I hereby certify that the following documents as identified below are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under CFR 1.10 on the date indicated above and are addressed to the Commissioner for Patents, Box Patent Application, Washington, D.C. 20231:

1. Transmittal letter;
2. Specification/Claims/Abstract 32 pages
(Specification & Abstract / 25 pages; Claims 39 / 7 pages);
3. 10 sheets of informal drawings;
4. Declaration for Patent Application;
5. Application Data Sheet;
6. Assignment with Recordation Cover Sheet;
7. Check; and
8. Return Receipt postcard.

Each of the 8 above-identified documents are enclosed herewith.

Respectfully submitted,



Dawn E. Zuniga
Office of Donald E. Stout
Registration No. 34,493

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Irvine, CA 92618

Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR NON-PUBLICATION OF APPLICATION
UNDER 37 C.F.R. § 213(a)

Dear Sir:

Applicants hereby request that the above-referenced patent application, filed concurrently herewith, **NOT BE PUBLISHED** under 35 U.S.C. § 122(b). In making this request, applicants certify that the invention disclosed in the application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at 18 months after filing.

Respectfully submitted,

Donald E. Start

February 12, 2001
4 Venture, Suite 300
Irvine, CA 92618

Donald E. Stout
Registration No. 34,493
STOUT, UXA, BUYAN & MULLINS, LLP